



Deborah Djeu

Chief Compliance Officer
Loring Ward

As the Chief Compliance Officer for Loring Ward, Deborah Djeu oversees the compliance programs of Loring Ward's registered investment advisors (LWI Financial Inc and The Wealth Management Alliance), proprietary mutual funds (SA Funds), and broker-dealer (Loring Ward Securities Inc.). She loves designing processes and providing educational resources that help the firm to navigate an increasingly complex regulatory world.

Deborah joined Loring Ward in 2015 and brings a depth of securities industry experience across legal, compliance, and regulatory roles. She has previously served in regulatory enforcement roles at the SEC, CFTC, and Pacific Exchange; she has also served as Chief Compliance Officer (mutual funds) and Enterprise Risk Committee Chair for AssetMark/Genworth Financial Wealth Management. Immediately prior to joining Loring Ward, Deborah served as General Counsel and Chief Compliance Officer for a closed-end precious metals and mining fund.

Deborah graduated from the University of Michigan (B.A., Political Science), and DePaul University (J.D.), and is admitted to the practice of law in California, Illinois, and New York. She holds the FINRA Series 7, 63, and 24 licenses.

Deborah lives in the Bay Area with her family, and enjoys a happy, house-divided rivalry between her Michigan Wolverines and her husband and daughter's USC Trojans.